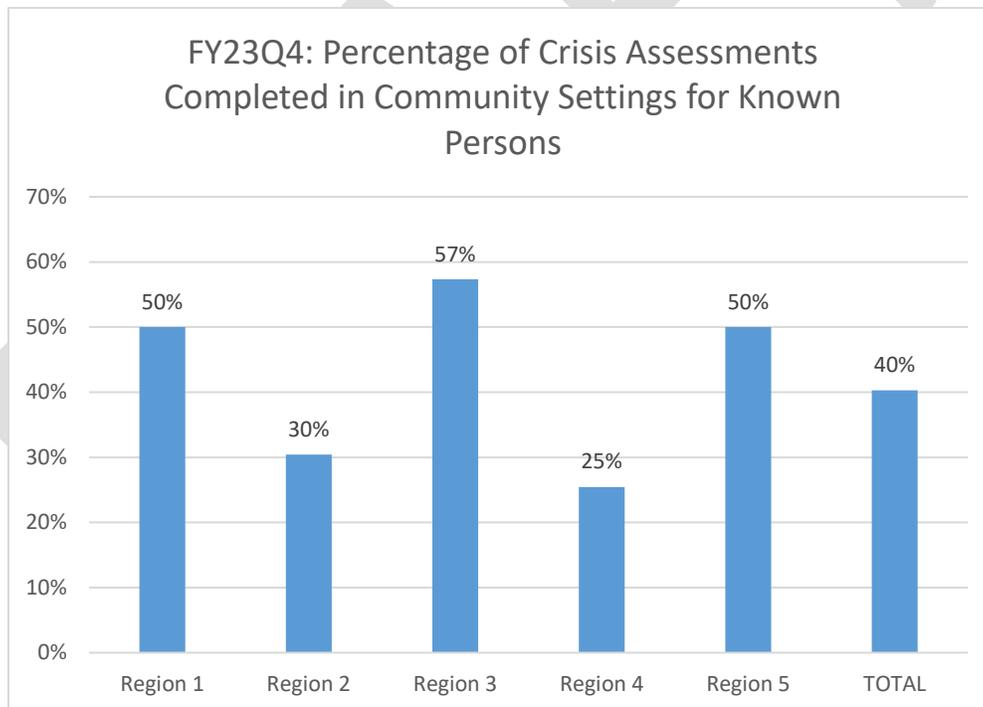


Supplemental Crisis Report: Quarter IV-FY23

This report provides supplemental data to the quarterly Adult and Children’s REACH Data Summary Reports. The data contained in this report correspond to specific compliance indicators agreed upon between the Commonwealth of Virginia and the United States Department of Justice surrounding crisis services for persons with developmental disabilities in the Commonwealth. The first report of this nature was developed for data collected in and prior to the third quarter of fiscal year 2020 (FY20Q3).

REACH Crisis Assessments in Community Settings

The REACH programs provide crisis assessments to persons with DD that are experiencing a behavioral health crisis in various settings. The full array of REACH crisis assessments and their locations is available in both the quarterly Adult and Children’s REACH Data Summary Reports. The data provided below speak to the percentage of persons that are known to the system that receive REACH crisis assessments at home, the residential setting, or other community setting, in comparison to crisis assessments completed in emergency rooms/departments or CSB locations. It is most desirable that persons in crisis receive a crisis assessment in the location in which the crisis event occurs, as opposed to being removed from their community setting to be assessed in a different location.



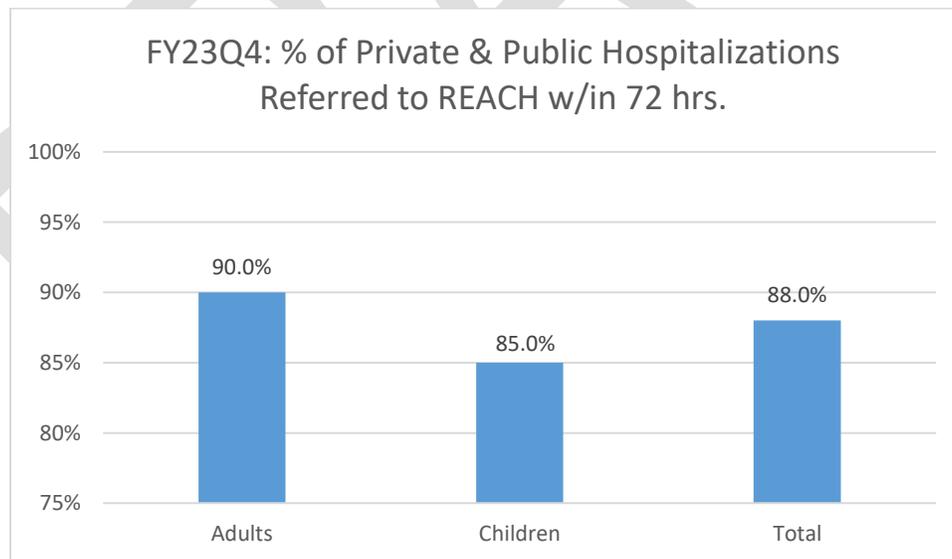
The graph above displays region by region, as well as all regions totaled, the percentage of adults and children combined that are known to the system that received REACH crisis assessments in the home, the residential setting, or other community setting (non-hospital/CSB location). A compliance indicator target has been set of **86% of children and adults who are known to the system will receive REACH crisis assessments at home, the residential setting, or other community setting (non-hospital/CSB**

location); filing reference 7.8. As displayed above, 40% of persons received REACH crisis assessments in a community location in FY23Q4 as opposed to 37% in FY23Q3. This data indicates that the target has not been met for this indicator. These data should not be confused with the crisis assessment data included in the Adult and Children’s REACH Data Summary Reports, as those data include all persons receiving a crisis assessment as opposed to just persons known to the system in the previous graphical display.

Hospitalizations

The Commonwealth tracks admissions to state operated psychiatric hospitals, and REACH tracks those to private hospitals as it is made aware. Numerous facets of hospitalization data are analyzed, including but not limited to determining if timely referrals have been made to REACH and examining trends on numbers of persons hospitalized and their associated lengths of stay.

It is critical that persons with a DD diagnosis admitted to psychiatric hospitals are referred promptly to the REACH program. The REACH program can assist hospitals in discharge planning and in offering needed services in the community, such as mobile supports or providing a step-down admission to a crisis therapeutic home. A related compliance indicator is as follows: **95% of children and adults admitted to state-operated and private psychiatric hospitals who are known to the CSB will be referred promptly (within 72 hours of admission) to REACH; filing reference 7.13.** As displayed below, approximately 90% of known adults and approximately 85% of known children that were hospitalized during the quarter were referred to REACH within the required 72-hour timeframe. With both populations combined, the percentage is approximately 88% of adults and children known to the CSB that were hospitalized were referred to REACH within 72 hours, which is not meeting this compliance indicator for this quarter.



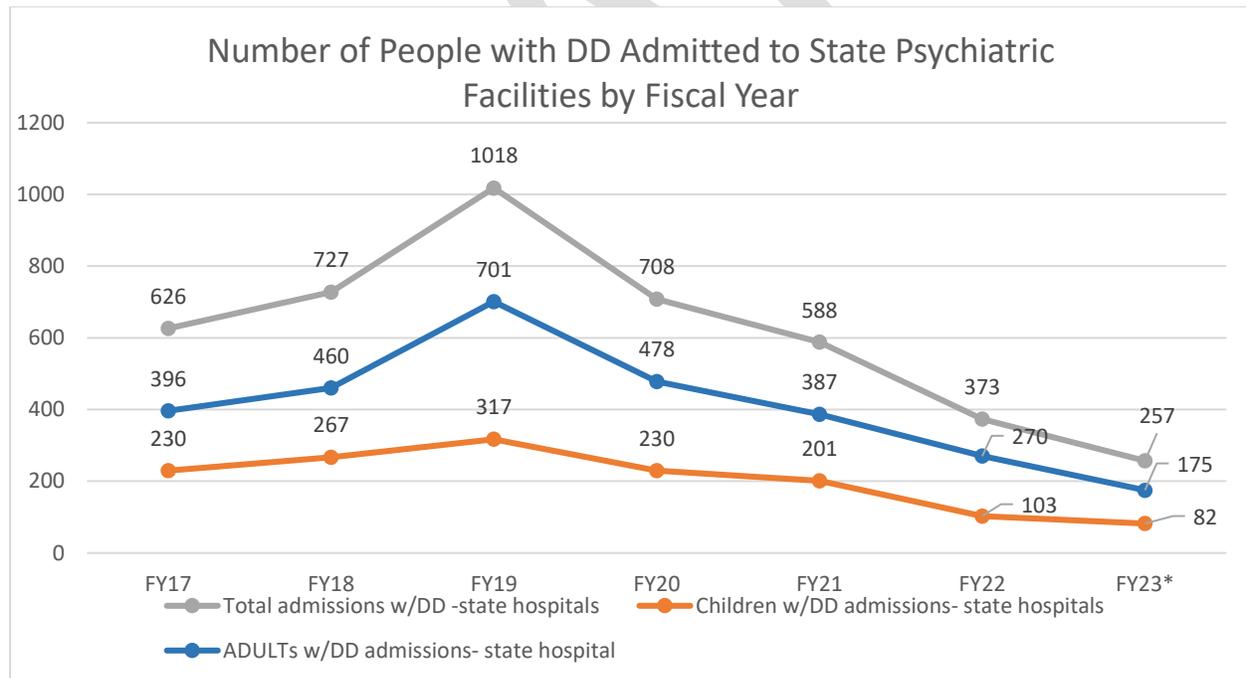
Data on hospitalizations of persons with a developmental disability are examined in several different ways. The Commonwealth has data on persons that are hospitalized in state operated psychiatric facilities such that trends on numbers, average and median length of stays, and percentage of the DD population

hospitalized compared to all admissions can be reviewed. There are several compliance indicators surrounding tracking the number of admissions, trends, lengths of stay, and comparisons of DD admissions to admissions of the larger, non-DD population. A compliance indicator surrounding hospitalization data requires that **documentation indicates a decreasing trend in the total and percentage of total admissions as compared to population served and lengths of stay of individuals with DD who are admitted to state-operated and known by DBHDS to have been admitted to private psychiatric hospitals, filing reference 8.6.** An additional compliance indicator related to the following graphical displays in this “Hospitalizations” section of this report reads as follows (*filing reference 8.7*):

For individuals with DD who are admitted to state-operated psychiatric hospitals and those known by DBHDS to have been admitted to private psychiatric hospitals, DBHDS will track the lengths of stay in the following categories:

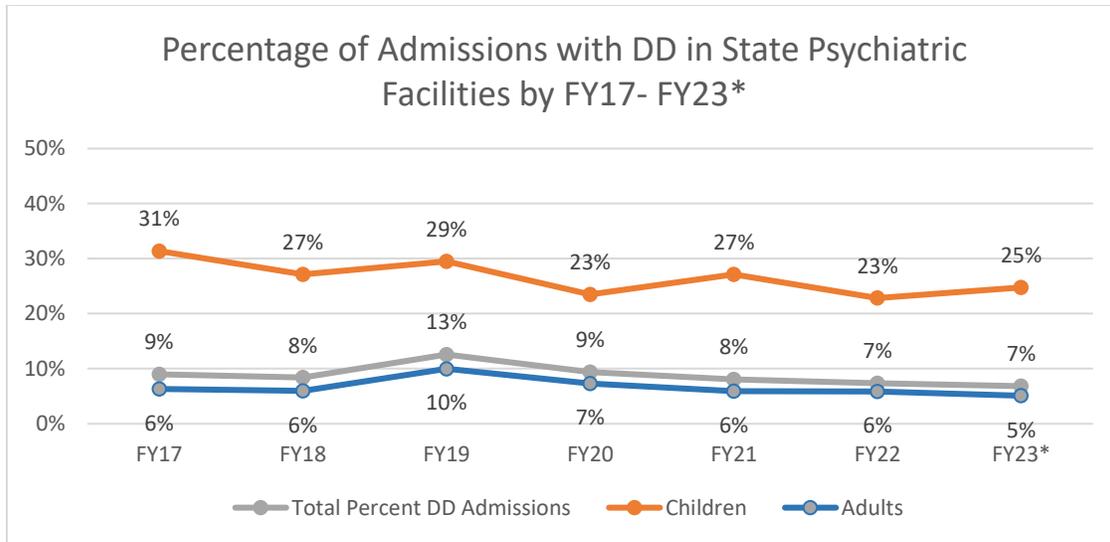
- those previously known to the REACH system and those previously unknown;
- admissions of adults and children with DD to psychiatric hospitals as a percentage of total admissions; and
- median lengths of stay of adults and children with DD in psychiatric hospitals.

Trend data by fiscal year on the number of admissions of persons with a developmental disability into a state hospital is available in the graphical display that follows. This is broken down into both age populations (adults and children) and displayed as a total below.



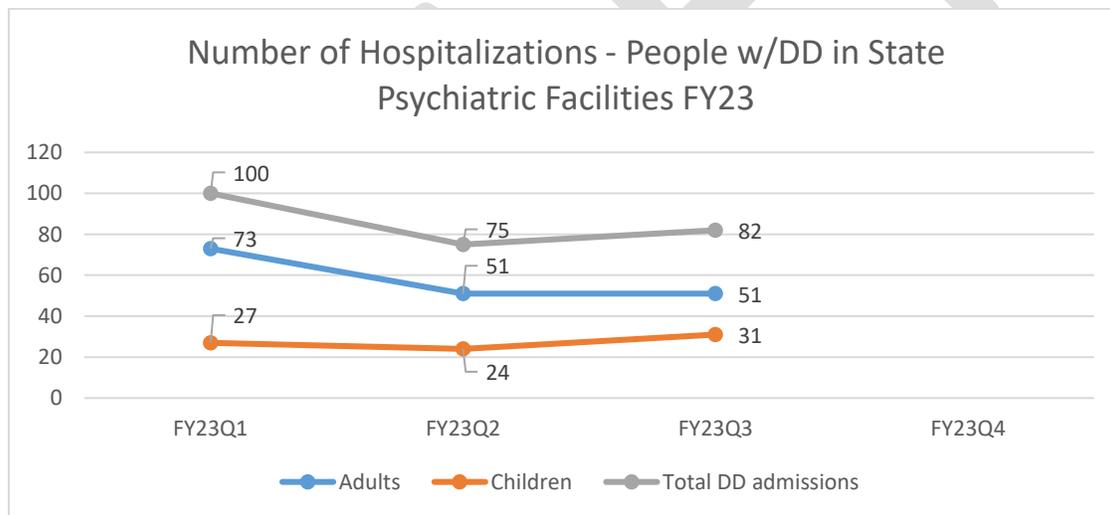
*FY23 reflects only Q1 – Q3

On the next page, these data are also displayed as a percentage of DD admissions to the entire sum of all individuals that were admitted to a state psychiatric facility in FY17 through the third quarter of FY23.

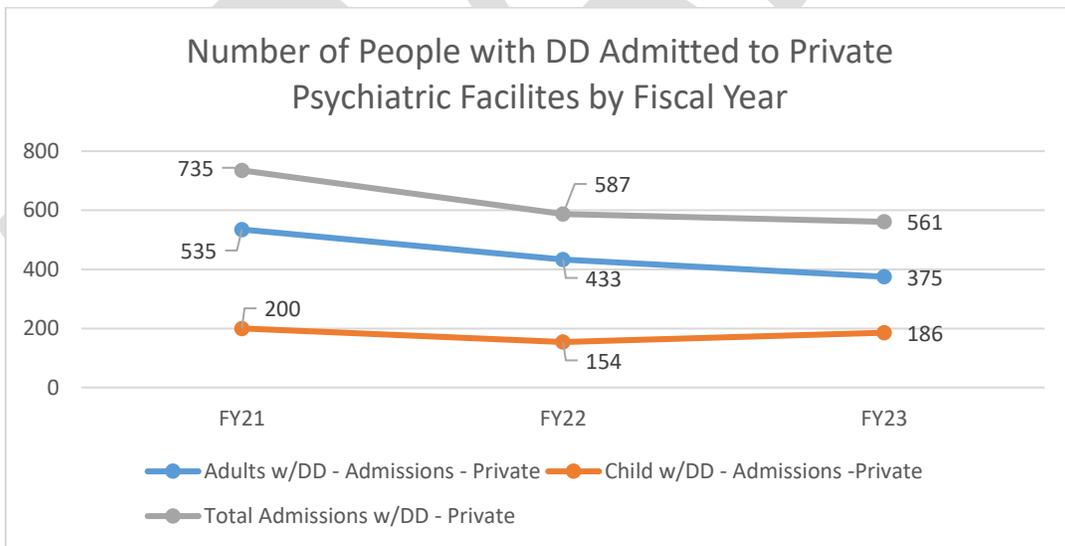
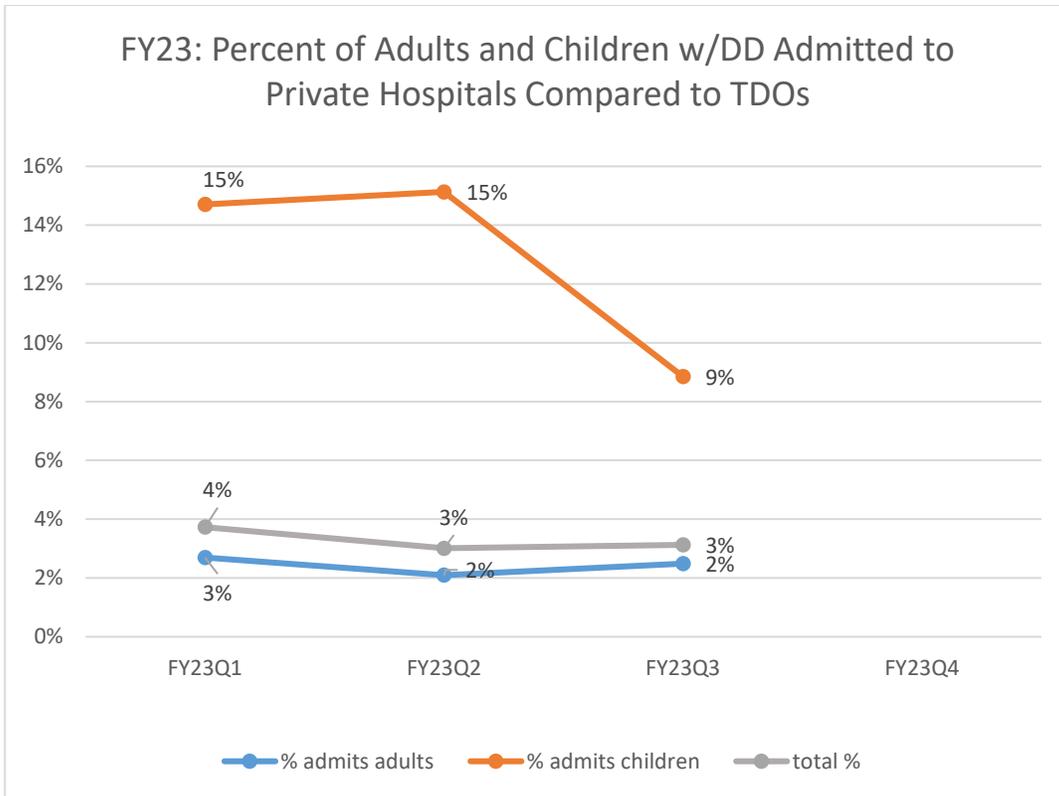


*FY23 reflects only quarters 1 - 3

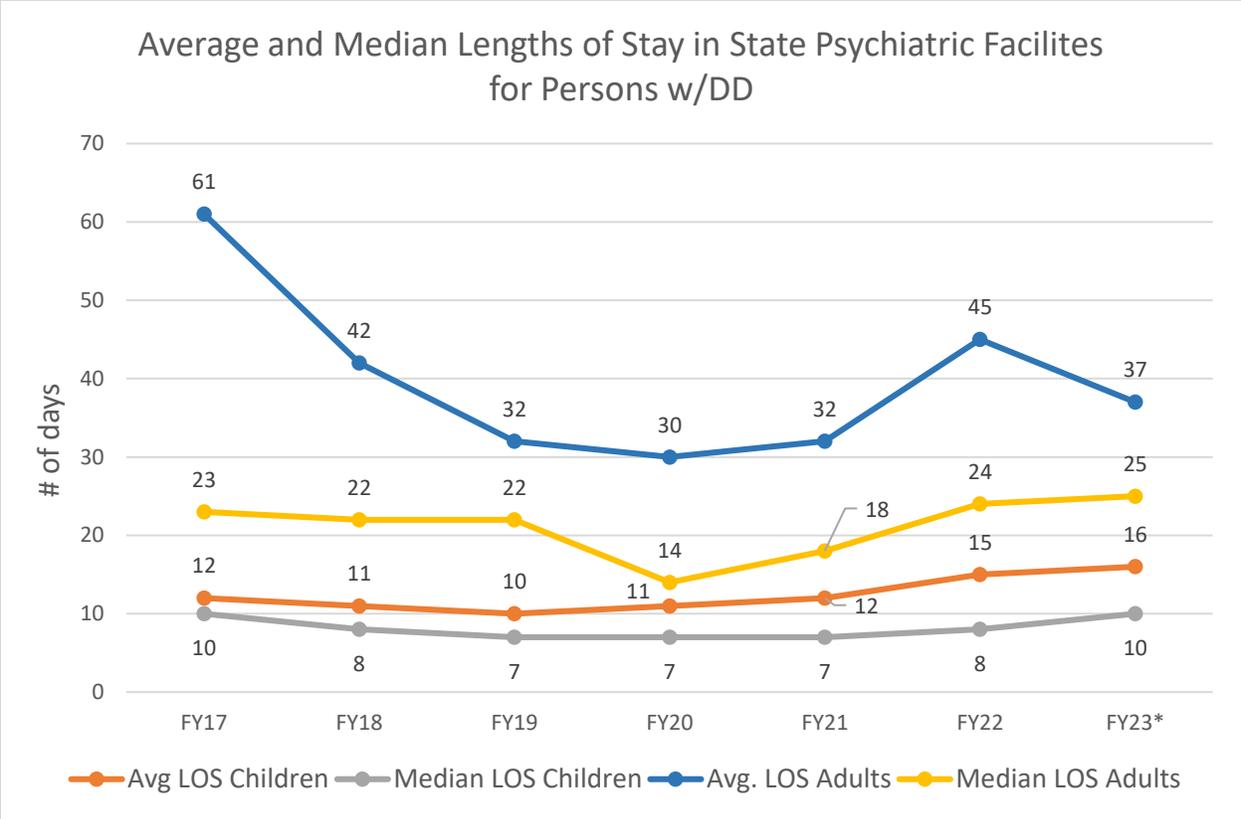
Trend data for quarters of the fiscal year 2023 is displayed on the graph below.



DBDHS is able to provide data on individuals with DD that become known to REACH either through an ES referral or through the private hospital, individual, family member, or other stakeholder referring the individual to REACH. DBHDS also has data available on the number of total Temporary Detention Orders (TDOs) issued each quarter for persons with and without a DD diagnosis. With that noted, individuals can be voluntarily hospitalized in private hospitals that DBHDS and REACH may not become aware of; thus, the data that follows should not be interpreted as including the entire representation of all persons hospitalized in private hospitals. The first set of data on the following page display the percentage of persons with DD that REACH is aware of that are hospitalized in private hospitals compared to private hospitalization TDOs for individuals with DD and without DD (all private hospitalization TDOs). The second chart displays the number of individuals with DD, as known to the REACH program, that were admitted in the fiscal year to a private hospital. Note: Fiscal year 2021 was the first complete fiscal year that data was available, and data for subsequent fiscal years will continue to be added over time.

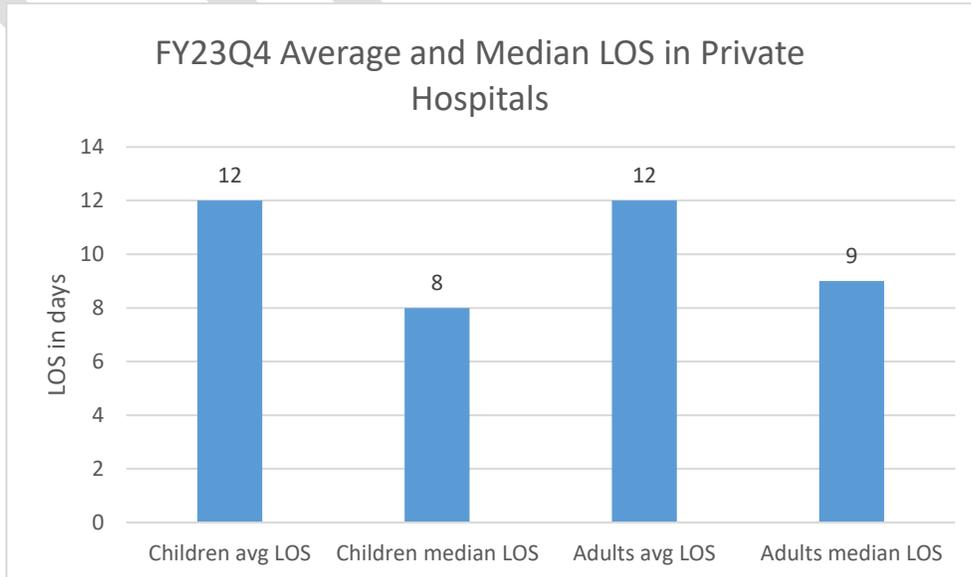


Over the past several fiscal years, the Commonwealth has been tracking information on the average and median lengths of stay for persons admitted to state psychiatric hospitals. The average length of stay and median lengths of stay for both adults and children admitted and discharged in the full fiscal years of FY17-FY22 and the first three quarters of FY23 are displayed below.

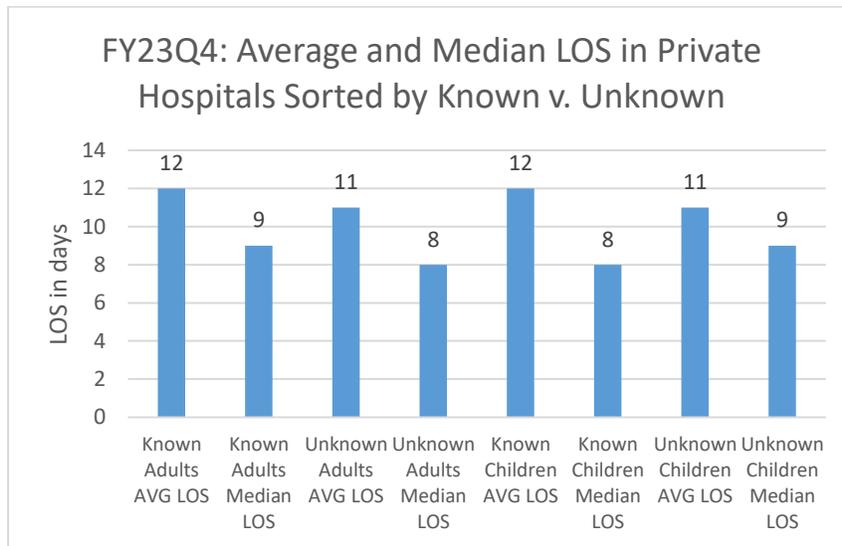


*FY23 reflects only quarters 1 - 3

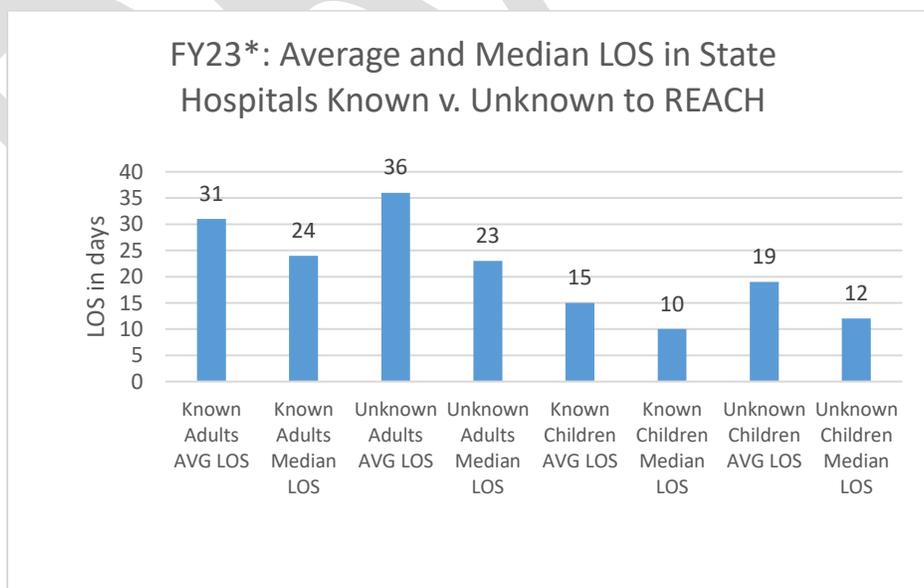
REACH is tracking lengths of stay for persons in a private psychiatric hospital as the REACH programs are made aware of such persons. The median length of stay for children was 8 days for both this quarter and the last quarter. For adults the median length of stay was 10 days for FY23Q3 as compared to 9 days in FY23Q4. In comparing the average length of stay in FY23Q3 to FY23Q4, the adult's average length of increased slightly from 11 to 12 days and the children's length of stay increased from 11 to 12 days. This information for the current quarter under review is provided below.



REACH is capturing information for hospitalized persons based upon if they are accepting or refusing REACH services surrounding their hospitalization. If the person (or their decision maker, as applicable) accepts REACH services (“known”), REACH can participate in discharge planning and offer mobile supports in the community, or a step-down stay at a crisis therapeutic home if indicated. An individual (or their decision maker) may elect to decline REACH services (“unknown”) when offered which is outside of the program’s control. Length of stay data for private hospitalizations for FY23Q4 are displayed below. In the context of the graphs that follow on average and median lengths of stay, accepting is displayed as “known” and refusing services is displayed as “unknown”.



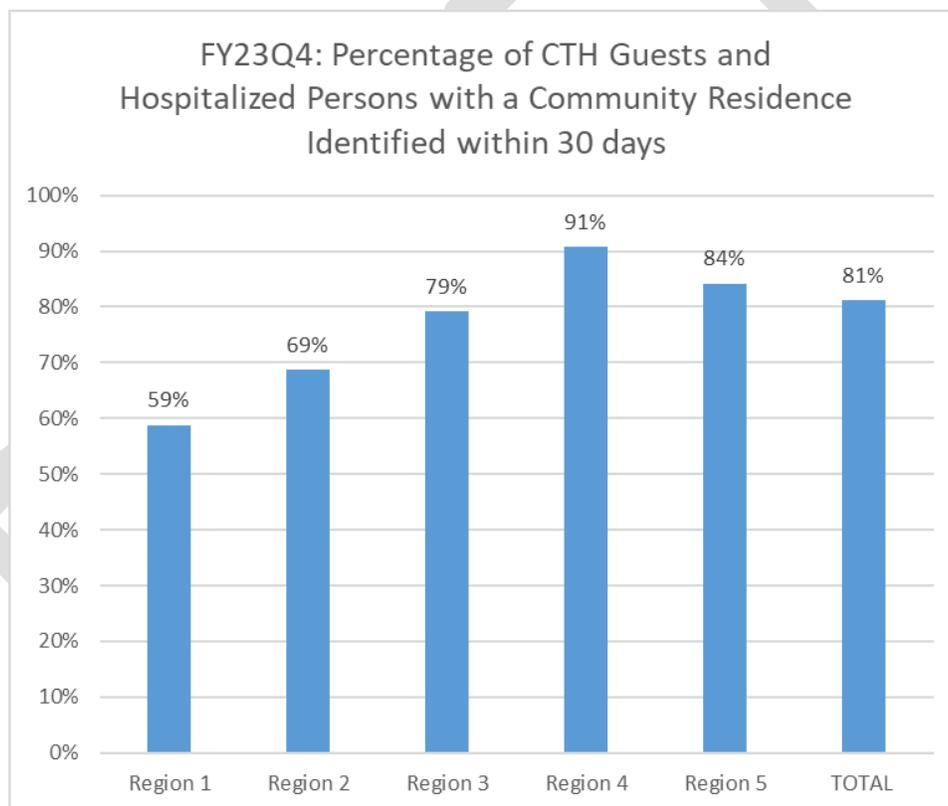
Length of stay data for the first three quarters of FY23* are noted below for known versus unknown to REACH persons in state psychiatric facilities.



*FY23 reflects only quarters 1 - 3

Identification and Development of Community based Residences

The REACH programs continue to work towards timely and appropriate discharge for persons that are admitted to REACH Crisis Therapeutic Homes (CTH), as well as are partners in discharge planning for persons that accept REACH services while hospitalized. Some individuals become known to the larger public system of developmental services (and REACH) only after they have been hospitalized, or after a hospitalization has been diverted and the person has been admitted to a REACH CTH. For individuals that have never been connected to a CSB and/or to REACH, activating basic services and associated funding stream(s) may take a protracted duration; achieving a discharge timeline of 30 days is highly unusual for persons with such a profile. A related compliance indicator is as follows: **86% of individuals with a DD waiver and known to the REACH system who are admitted to CTH facilities and psychiatric hospitals will have a community residence identified within 30 days of admission; filing reference 10.4 (also included in filing reference 11.1).** The data that follow display the percentage of persons admitted with a waiver into a CTH facility, as well as persons admitted into psychiatric hospitals that accepted REACH services, that have a community residence identified within 30 days. The data is calculated within and across all regions.



During this quarter review, F23Q4, 81% of this group had a community residence identified within 30 days in FY23Q3, which is an increase from 80% in FY23Q3.

In FY18, DBHDS issued a Request for Proposal (RFP) to target the further development of residential providers that can support persons with complicated behavioral needs, as well as persons with co-occurring behavioral health disorders. Via this RFP process, multiple vendors were selected to serve this unique population, which includes persons exiting training centers, persons that have contacted the

REACH crisis system, persons that are stepping down from psychiatric hospitalizations, persons in out of state placements, and persons that require complex behavioral/behavioral health services to avoid crisis situations and/or admission to restrictive placements (such as a psychiatric hospital). RFP requirements stipulate person centered and trauma informed care practices, as well as incorporation of appropriate administrative oversight (including nursing, as appropriate, and behavior analysis services). Crisis prevention and stabilization services were also baked-in RFP requirements, as is working in concert with REACH. Based on the population served in these residences, some providers are also incorporating training components through a venerable certification process for individuals with dual diagnoses. A related compliance indicator is as follows: **DBHDS will increase the number of residential providers with the capacity and competencies to support people with co-occurring conditions using a person-centered/trauma-informed/positive behavioral practices approach to 1) prevent crises and hospitalizations, 2) to provide a permanent home to individuals discharged from CTHs and psychiatric hospitals; filing reference 10.3.** As noted in previous reports, seven homes have been brought online through the original FY18 RFP process which upon completion resulted in the opening of 34 new beds in the Commonwealth to serve people with DD who present with challenging behavior/mental health needs. At the time of this report, there are 26 out of 26 beds filled. Beyond these 26 beds across the seven homes, there are providers that have worked closely with DBHDS to continue to serve this population, totaling seven additional beds, with each bed full at the time of this report. The homes denoted are operational across all regions of the state. At the time of this report, DBHDS is involved in an additional (new) RFP process that closely parallels the parameters of the original FY18 RFP to develop more homes to support individuals with high behavior needs. The vendors have been selected and are being processed through DBHDS Procurement.

As it relates to resources for individuals that are hospitalized or without disposition at REACH CTHs and need a waiver as a resource for community-based services, the emergency waiver slot process remains in use for Community Services Board and Behavioral Health Authorities. A related compliance indicator is as follows: **DBHDS will utilize waiver capacity set aside for emergencies each year to meet the needs of individuals with long term stays in psychiatric hospitals or CTHs; filing reference 10.2.**

As reported out in the Supplemental Crisis Report from FY23Q3, one person had not yet had services initiated from a waiver slot awarded in FY23Q3. All other individuals from FY23Q2 have services initiated and were identified in the previous report. The current update for the 1 person is available below (Table 1).

Table 1: FY23Q3: update on emergency waiver slot to meet needs of individuals discharging from hospital, CTH, or ATH and type of waiver services accessed

| Person receiving waiver slot from REACH, ATH, or hospitalization | Waiver service(s) accessed |
|-------------------------------------------------------------------------|-----------------------------------|
| Person 1 | Group Home 4 or fewer |

In FY23, there have been 86 emergency slots awarded, of which 24 (approximately 28%) were provided to people with long term stays in psychiatric hospitals, CTHs, or an Adult Transition Home

The waiver services for individuals that received an emergency slot in FY23Q4 are available in the table below (Table 2).

Table 2: FY23Q4: emergency waiver slot to meet needs of individuals discharging from hospital, CTH, or ATH and type of waiver services accessed

| Person receiving waiver slot from REACH, ATH, or hospitalization | Waiver service(s) accessed |
|------------------------------------------------------------------|---------------------------------------|
| Person 1 | Services Not Yet Identified |
| Person 2 | Group Residential Supports 4 or fewer |
| Person 3 | Services Not Yet Identified |
| Person 4 | Sponsored Residential |
| Person 5 | Services Not Yet Identified |
| Person 6 | Group Residential Supports 4 or fewer |
| Person 7 | Services Not Yet Identified |
| Person 8 | Services Not Yet Identified |

As it relates to avoiding institutionalization for individuals listed as Priority on the waiver waiting list, an associated compliance indicator reads as follows (*filing reference 29.26*):

The Commonwealth ensures that at least 95% of applicants assigned to Priority 1 of the waiting list are not institutionalized while waiting for services unless the recipient chooses otherwise or enters into a nursing facility for medical rehabilitation or for a stay of 90 days or less. Medical rehabilitation is a non-permanent, prescriber-driven regimen that would afford an individual an opportunity to improve function through the professional supervision and direction of physical, occupational, or speech therapies. Medical rehabilitation is self-limiting and is driven by the progress of the individual in relation to the therapy provided. When no further progress can be documented, individual therapy orders must cease.

During the 3rd quarter of FY23, 9 individuals were admitted to an ICF IID. Of these 9 individuals admitted to an ICF IID, zero of them were on the Priority 1 waitlist.

Additionally, during the 3rd quarter of FY23, there were 153 private psychiatric hospitalizations and (REACH aware) and 42 state psychiatric hospital admissions. Of these 195 hospitalizations in the third quarter, 7 individuals were on the Priority 1 waiting list.

Finally, during the 3rd quarter of FY23, there were 88 adults and 4 children that were screened for admission to a nursing facility. One adult and one child were on the Priority 1 waiting list.

The total number of people institutionalized from the Priority 1 waiting list was 9. The total number of people on the Priority 1 waiting list at the end of the quarter was 3616. Therefore, DBHDS met the expectation, as 99.8% of people on the Priority 1 waiting list were not institutionalized.

Crisis Education and Prevention Plans and REACH Employee Training

As per agreement, the two compliance indicators listed below are on a semi-annual report out schedule. Therefore, no data is provided for this quarter, but will be included in the FY23Q3 Supplemental Crisis Report.

- A related compliance indicator for mobile crisis CEPPs is as follows: **86% of initial CEPPs are developed within 15 days of the assessment; filing reference 8.4.**
- A related compliance indicator for REACH employee training is as follows: **86% of REACH staff will meet training requirements; filing reference 8.3.**

Assessing Risk for Crisis/Hospitalization

To foster proactive and preventative referrals to the REACH program, DBHDS initiated the Crisis Risk Assessment Tool (CAT) in FY21Q1. This tool and associated training are currently being utilized throughout CSBs/BHA in the Commonwealth.

The following compliance indicator speaks directly to training for CSB personnel on identifying risk for going into crisis for adults and youth:

DBHDS will ensure that all CSB Executive Directors, Developmental Disability Directors, case management supervisors, and case managers receive training on how to identify children and adults receiving active case management who are at risk for going into crisis. Training will also be made available to intake workers at CSBs on how to identify children and adults presenting for intake who are at risk for going into crisis and how to arrange for crisis risk assessments to occur in the home or link them to REACH crisis services, *filing reference 7.5.*

A web-based training on the Crisis Risk Assessment Tool was made available to all target CSB staff through the Commonwealth of Virginia's Learning Center (COVLC) on July 1, 2020. As of June 30, 2023, a total of 4,620 CSB/BHA staff have completed this training, with training occurring in all CSBs/BHA across the Commonwealth. This is an increase of 186 CSB/BHA personnel trained since the previous report.

The compliance indicator, **DBHDS will add a provision to the CSB Performance Contract requiring training on identifying risk of crisis for case managers and intake workers within 6 months of hire; *filing reference 7.6,*** was report in FYQ3 and per agreement is reported on an annually basis. It will be included in the FY24Q3 report.

Additionally, a related compliance indicator on quality review of identifying persons at risk of crisis and referring to REACH when indicated is as follows: **DBHDS will implement a quality review process conducted initially at six months, and annually thereafter, that measures the performance of CSBs in identifying individuals who are at risk of crisis and in referring to REACH where indicated; *filing reference 7.7.*** Data for this indicator were reported in the FY23Q2 Supplemental Crisis Report. Per language in agreement above, these data will be reported again in a future iteration of this report on an annual basis. It will be included in the FY24Q2 report.

Availability of Direct Support Professionals

In past reports, the data in this section has corresponded to specific compliance indicators surrounding persons with developmental disabilities in the Commonwealth that are in the Support Level 7 category (filing references 7.21, 7.22, and 7.23). In past reports, the data in this section has corresponded to specific compliance indicators surrounding persons with developmental disabilities in the Commonwealth that are in the Support Level 7 category (filing references 7.21, 7.22, and 7.23). The data previously reported will continue to be provided as per the agreed upon report out schedule which would be in the next Supplemental Crisis Report and on a semi-annual basis thereafter. Quarters 3 and 4 of FY23 (1/1/23-6/31/23) will be made available in October and included in corresponding summary reports.

A review of the utilization data for In-home Support Services, Personal Assistance, Personal Assistance (CD) from Department of Medical Assistance Services was reported in FY23Q3 report. This is reported on a semi-annual basis and will be included in the FY24Q1 report.

Summary

This is the fourteenth supplemental quarterly report on specific indicators agreed upon between the Commonwealth and the US Department of Justice surrounding crisis services for persons with developmental disabilities in the Commonwealth. Data will continue to be utilized to guide decision making to meet the overarching goal of Virginians with a developmental disability that contact the crisis system receiving timely and effective services in the least restrictive setting possible.

ADDENDUM

As a part of the joint filing of agreed upon curative actions in October 2021, DBHDS began providing requested data quarterly related to customized rate applications quarterly in this report. The specific curative action that relates to compliance indicator filing reference 7.18 reads as follows: *Report customized rate applications, approvals, and denials quarterly.* The tables below provide data on applications, approvals, and denials for customized rates from January 1, 2023, through March 30, 2023.

Table 6: Customized rate approvals and denials, FY23Q3

| Application Status | Approved | Denied | Total |
|-----------------------------|----------|--------|-------|
| Processed/Decision Rendered | 48 | 17 | 65 |

The table above outlines the total number of applications during this time period to include approved and denied. Note that approximately 74% of applications were approved.

Table 7: Approvals and denials by SIS level, FY23Q3

| SIS | Approved | Denied | Total |
|--------------|-----------|-----------|-----------|
| Level 1 | 0 | 1 | 1 |
| Level 2 | 6 | 3 | 9 |
| Level 3 | 7 | 2 | 9 |
| Level 4 | 7 | 7 | 14 |
| Level 5 | 0 | 0 | 0 |
| Level 6 | 3 | 1 | 4 |
| Level 7 | 25 | 3 | 28 |
| TOTAL | 48 | 17 | 65 |

The table above further breaks down the approvals and denials by SIS (Supports Intensity Scale) level.

Table 8: Approvals and denials by service requested, FY23Q3

| Service | Approved | Denied | Total |
|--------------------|-----------|-----------|-----------|
| Group Day | 6 | 3 | 9 |
| Group Home | 41 | 13 | 54 |
| In home Supports | 1 | 1 | 2 |
| Sponsored | 0 | 0 | 0 |
| Supported Living | 0 | 0 | 0 |
| Community Coaching | 0 | 0 | 0 |
| TOTAL | 48 | 17 | 65 |

The table above gives information on the service type being requested for a customized rate based upon approvals and denials.

Table 9: Reasons for denials, FY23Q3

| Denial Status | Total |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|
| Exceptional support need not demonstrated | 11 |
| 1:1 or 2:1 staffing need not demonstrated | 0 |
| Need for higher qualified staffing not demonstrated | 0 |
| Need for increased programmatic oversight not demonstrated | 0 |
| The requested service needs can be met within the individual's current level and tier or through the use of other services available to the individual within the Medicaid program | 6 |
| Proper supporting documentation was not submitted or an incomplete application was received | 0 |
| TOTAL | 17 |

The table above provides detailed information regarding the reason that the customized rate review committee denied an application.

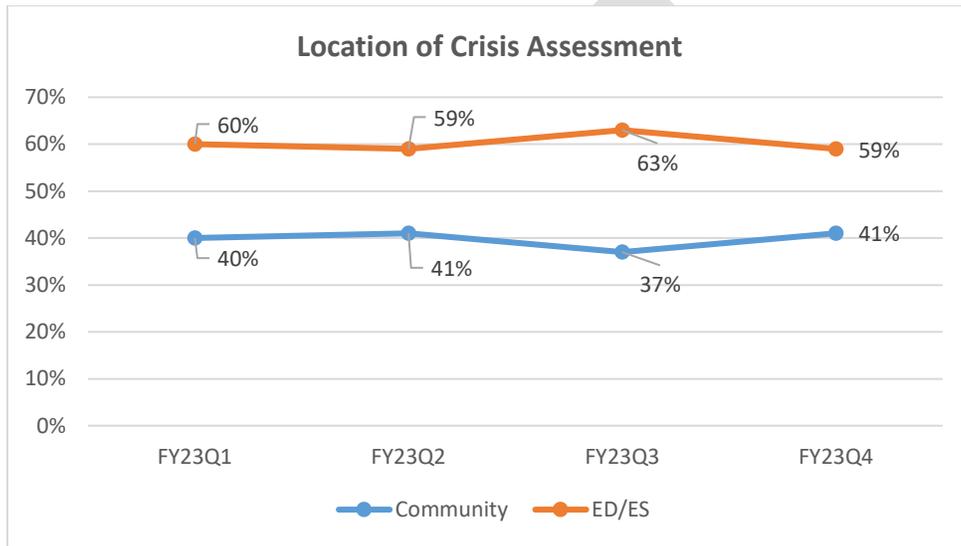
Table 10: Approvals & denials for residential services based on bed capacity

| Bed Capacity | Approved | Denied | Total |
|-------------------|-----------|-----------|-----------|
| 4 or less | 38 | 11 | 49 |
| 5 Bed | 3 | 2 | 5 |
| 6 Bed | 1 | 0 | 1 |
| 7 Bed | 0 | 0 | 0 |
| 8 Bed | 0 | 0 | 0 |
| N/A (Day Service) | 6 | 4 | 10 |
| TOTAL | 48 | 17 | 65 |

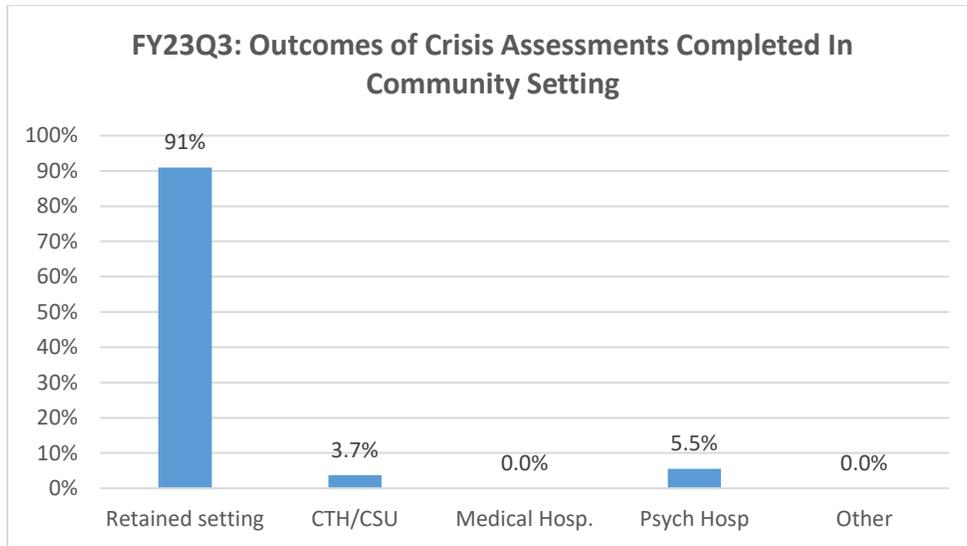
The final table above provides information on the bed capacity of the provider that requested the customized rate.

Crisis Assessment Locations and Outcomes:

The following data were requested as a part of the 20th Study period review and provide information inclusive of all individuals that REACH provided crisis assessment in the quarter (both known to REACH and unknown). The breakdown of this data is focusing on assessment location and resulting outcome. The data is grouped by crisis assessments completed in a community setting (Community) that is exclusive of those occurring in the local hospital emergency department/CSB Emergency Services Department versus a second grouping of crisis assessments that are completed in the hospital emergency departments or CSB emergency services (ED/ES). FY23Q1 was the first quarter that this specific analysis of data is being reported (in addition to crisis assessment data reported earlier in this report and what is listed in the quarterly Adult and Children’s REACH Data Summary Reports). The chart below indicates that for FY23Q4 59% of the assessments occurred within an ED/ES setting.



Out of the 41% of the crisis assessments completed in a community setting, 91% of the individuals were able to remain in their home setting, 3.7% were admitted to a CTH/CSU and another 5.5% were psychiatrically hospitalized (primarily in private hospitals). The outcome as to the percentage of psychiatric hospitalizations increased by 1.0% as compared to the previous quarter. This data is visually represented in the chart below.



Out of the 59% of the crisis assessments completed in an ED/ES setting, 57.8% of the individuals were able to remain in their home setting, 4.6% were admitted to a CTH/CSU, 33.5% were psychiatrically hospitalized, 2% had “other” outcomes, 1.7% were hospitalized for medical treatment. This data is visually represented in the chart below.

